Attorney Docket No.: A-71748/MSS (463035-770)

Serial No.: 10/521,619

#### **DECLARATION FOR PATENT APPLICATION**

RECEIVED

As the below named inventor, I hereby declare that:

MAR 0 5 2007

My residence, post office address and citizenship is as stated below next to my name; OFFICE OF PETITIONS

I believe that I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### THERMAL PROCESSING SYSTEM AND CONFIGURABLE VERTICAL CHAMBER

the specification of which:	is attached hereto.  was filed on: as Application No.: and was amended on:	July 10, 2003 PCT/US2003/021575
•		(if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

# Prior Foreign Application(s)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Appleation Number	Foreign Elling Date (DDAYMAYAYAYA)	Performation	Olaimed.	Con <u>lli</u> e Alter	il Copy iheil
			Yes 🗌	No 🗌	Yes 🗌	No 🔲
			Yes 🗌	No 🗌	Yes 🗌	No 🗌
			Yes 🗌	No 🗌	Yes 🗌	No 🔲
			Yes 🗌	No 🗌	Yes 🗌	No 🗌
			Yes 🗌	No 🗌	Yes 🗌	No 🗌

Dorsey & Whitney LLP
Intellectual Property Department
555 California Street, Suite 1000
San Francisco, California 94104-1513
(650) 857-1717 (telephone); (650) 857-1288 (facsimile)
Page 1 of 4

Attorney Docket No.: A-71748/MSS (463035-770)

Serial No.: 10/521,619

# **Prior Provisional Application(s)**

I hereby claim priority under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing (day, month, year)
60/396,536	July 15, 2002
60/428,526	November 22, 2002

# **Prior United States Application(s)**

I hereby claim priority under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned
	<u> </u>	

All correspondence and telephone communications should be addressed to Maria S. Swiatek at the address corresponding to <u>Customer Number 32940</u> currently: DORSEY & WHITNEY LLP, Intellectual Property Department; 555 California Street, Suite 1000, San Francisco, California 94104-1513; telephone number (650) 857-1717; facsimile number (650) 857-1288.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dorsey & Whitney LLP
Intellectual Property Department
555 California Street, Suite 1000
San Francisco, California 94104-1513
(650) 857-1717 (telephone); (650) 857-1288 (facsimile)
Page 2 of 4

Attorney Docket No.: A-71748/MSS (463035-770) Serial No.: 10/521,619

Signature		·	Date	
Full Name of First Inventor:	Du Bois	Dale		R.
Citizenship:	(Family Name) US Citizen	(First Given Name)		(Second Given Name)
Residence:	Los Gatos, California	· · · · · · · · · · · · · · · · · · ·		•.
Post Office Address:	14285 Mulberry Drive Los Gatos, California 95032			
Signature	· · · · · · · · · · · · · · · · · · ·		Date	
Full Name of Second Inventor:	Nam (Family Name)	Jamie (First Given Name)		H. (Second Given Name)
Citizenship:	US Citizen			·
Residence:	Scotts Valley, California			
Post Office Address:	104 B Saint Augustine Way Scotts Valley, California 95066			
Signature			Date	100 01000
Full Name of Third Inventor:	Wildman (Family Name)	Craig (First Given Name)		(Second Given Name)
Citizenship:	US Citizen			
Residence:	Sunnyvale, California			
Post Office Address:	1729 Karameos Drive Sunnyvale, California 94087			

Dorsey & Whitney LLP
Intellectual Property Department
555 California Street, Suite 1000
San Francisco, California 94104-1513
(650) 857-1717 (telephone); (650) 857-1288 (facsimile)
Page 3 of 4

Attorney Docket No.: A-71748/MSS (463035-770) Serial No.: 10/521,619

Full Name of Fourth Inventor:  Oiu  (Family Name) (First Given Name) (Second Given N  US Citizen  Residence:  Los Gatos, California  Post Office Address:  135 Riviera Drive, Apt. 328 Los Gatos, California 95032  Signature  Full Name of Fifth Inventor:  Kowalski (Family Name) (First Given Name)  Citizenship:  US Citizen  Residence:  Aptos, California  Post Office  Address:  122 Zanzibar Road	
Citizenship: US Citizen  Residence: Los Gatos, California  Post Office Address: 135 Riviera Drive, Apt. 328 Los Gatos, California 95032  Signature Date  Full Name of Fifth Inventor: Kowalski Jeffrey M. (Family Name) (First Given Name) (Second Given N  Citizenship: US Citizen  Residence: Aptos, California  Post Office	<del></del>
Post Office Address:  135 Riviera Drive, Apt. 328 Los Gatos, California 95032  Signature  Full Name of Fifth Inventor:  Kowalski (Family Name)  Kowalski (First Given Name)  Citizenship: US Citizen  Residence: Aptos, California  Post Office	ame)
Address: 135 Riviera Drive, Apt. 328 Los Gatos, California 95032  Signature Date Full Name of Fifth Inventor: Kowalski Jeffrey M. (Family Name) (First Given Name) (Second Given N  Citizenship: US Citizen  Residence: Aptos, California  Post Office	
Full Name of Fifth Inventor: Kowalski Jeffrey M.  (Family Name) (First Given Name) (Second Given N  Citizenship: US Citizen  Residence: Aptos, California  Post Office	
Full Name of Fifth Inventor: Kowalski Jeffrey M.  (Family Name) (First Given Name) (Second Given N  Citizenship: US Citizen  Residence: Aptos, California  Post Office	
Fifth Inventor: Kowalski Jeffrey M.  (Family Name) (First Given Name) (Second Given N  Citizenship: US Citizen  Residence: Aptos, California  Post Office	
Residence: Aptos, California  Post Office	ame)
Post Office	
Aptos, California 95003	
Signature Date	
Full Name of Sixth Inventor:	
(Family Name) (First Given Name) (Second Given N	ame)
Citizenship:	
Residence:	<del></del>
Post Office Address:	<del></del>

Dorsey & Whitney LLP
Intellectual Property Department
555 California Street, Suite 1000
San Francisco, California 94104-1513
(650) 857-1717 (telephone); (650) 857-1288 (facsimile)
Page 4 of 4

Atty. Docket No.: A-71748/MSS (463035-770)

#### **ASSIGNMENT**

WHEREAS, the undersigned, Dale R. Du Bois, a resident of Los Gatos, California; Jamie H. Nam, a resident of Scotts Valley, California; Craig Wildman, a resident of Sunnyvale, California; Taiquing Qiu, a resident of Los Gatos, California; and Jeffrey M. Kowalski, a resident of Aptos, California, (collectively hereinafter termed "Inventors"), have jointly invented certain new and useful improvements in THERMAL PROCESSING SYSTEM AND CONFIGURABLE VERTICAL CHAMBER, for which invention we have executed a United States utility patent application, having Serial No. 10/521,619, and filing date of January 14, 2005, and which invention is fully described in the specification pertaining to said application.

WHEREAS, AVIZA TECHNOLOGY, INC. (hereinafter termed "Assignee"), a body having corporate powers under the laws in the State of Delaware, having a place of business at 440 Kings Village Road, Scotts Valley, California 95066, is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a utility, divisional, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extension of any of said patents; and (e) all claims for damages by reason of past infringement of said patents, with the right to sue for and collect the same for its own use and enjoyment, and for use and enjoyment of its successors, assigns or other legal representatives.
- 2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United

States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting a utility, divisional, substitute, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

- 3. The terms, covenants and conditions of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHER	EOF, the said	Inventor has executed and delivered this	s instrument
to said Assignee on this	_ day of	, 2006.	
		Dale R. Du Bois	
State of California	)	Date R. Du Bois	
County of			
		, in the year <u>2006</u> , before me,	
		he County of	
satisfactory evidence) to be the acknowledged that he/she exe	e person(s) w cuted the sam ment the pers	nown to me (or proved to me on the basi whose name is subscribed to the within in he in his/her authorized capacity(ies), and on, or the entity upon behalf of which th	strument, and d that by
WITNESS my hand a	nd official sea		
Signature		(Seal)	

to said Assignee on this	day of	,	2006.	
		Jamie H.	Nam	
State of <u>California</u> County of				
On this day of		, in the year <u>2006</u>	5, before me,	
Notary Public of the State of appeared <u>Jamie H. Nam</u> satisfactory evidence) to be acknowledged that he/she e his/her signature on the instrum acted, executed the instrum WITNESS my hand	, personally know the person(s) who xecuted the same rument the person ent.	on to me (or prove ose name is subsc in his/her author n, or the entity up	ed to me on the bas cribed to the within ized capacity(ies), a	is of instrument, and and that by
Signature Signature			(Seal)	
IN WITNESS WHE to said Assignee on this				his instrument
		Craig Wi	ildman	<del></del>
State of <u>California</u> County of				
On this day of Notary Public of the State of	of California in the	County of		, personally
appeared <u>Craig Wildman</u> satisfactory evidence) to be acknowledged that he/she e his/her signature on the instacted, executed the instrumental WITNESS my hand	_, personally kno the person(s) who xecuted the same rument the person ent.	wn to me (or provose name is subscription in his/her authority up	ved to me on the ba cribed to the within ized capacity(ies), a	sis of instrument, and and that by
Signature			(Seal)	

Assignee on this	day of	, 2006.
		Taiquing Qiu
State of <u>California</u> County of		
On this	day of	, in the year 2006, before me,
Notary Public of the	State of California in	, in the year 2006, before me,, personally own to me (or proved to me on the basis of satisfactory
acknowledged that he his/her signature on t acted, executed the in	e/she executed the sa the instrument the per	e is subscribed to the within instrument, and me in his/her authorized capacity(ies), and that by rson, or the entity upon behalf of which the person eal.
a:	•	(Seal)
Signature	n	<del></del>
Signature		
IN WITNESS	S WHEREOF, the sai	id Inventor has executed and delivered this instrument
IN WITNESS	S WHEREOF, the sai	id Inventor has executed and delivered this instrument
IN WITNESS	S WHEREOF, the sai	
IN WITNESS	S WHEREOF, the sai	id Inventor has executed and delivered this instrument
IN WITNESS	S WHEREOF, the sai	id Inventor has executed and delivered this instrument
IN WITNESS to said Assignee on t	S WHEREOF, the sai	id Inventor has executed and delivered this instrument, 2006.
IN WITNESS to said Assignee on t State of <u>California</u>	S WHEREOF, the sai	id Inventor has executed and delivered this instrument, 2006.
IN WITNESS to said Assignee on t  State of <u>California</u> County of	S WHEREOF, the sai	Jeffrey M. Kowalski
IN WITNESS to said Assignee on t  State of <u>California</u> County of	S WHEREOF, the sai his day of  day of)	id Inventor has executed and delivered this instrument
IN WITNESS to said Assignee on t  State of <u>California</u> County of On this Notary Public of the	S WHEREOF, the sai his day of  day of  State of California in	id Inventor has executed and delivered this instrument
IN WITNESS to said Assignee on t  State of <u>California</u> County of On this Notary Public of the appeared <u>Jeffrey M</u>	S WHEREOF, the sai his day of  day of  State of California in Kowalski_, person	Jeffrey M. Kowalski , in the year 2006, before me,, personally ally known to me (or proved to me on the basis of
IN WITNESS to said Assignee on to  State of California County of  On this Notary Public of the appeared Satisfactory evidence acknowledged that he	S WHEREOF, the sai his day of  day of State of California in Kowalski, person to be the person(s) we/she executed the sai	Jeffrey M. Kowalski , in the year 2006, before me,, personally ally known to me (or proved to me on the basis of whose name is subscribed to the within instrument, and me in his/her authorized capacity(ies), and that by
IN WITNESS to said Assignee on t  State of California County of On this Notary Public of the appeared Satisfactory evidence acknowledged that he his/her signature on t	S WHEREOF, the sai his day of  day of  State of California in [. Kowalski_, person(s)) to be the person(s) we/she executed the sai he instrument the per	Jeffrey M. Kowalski , in the year 2006, before me,, personally ally known to me (or proved to me on the basis of whose name is subscribed to the within instrument, and
IN WITNESS to said Assignee on to  State of California County of  On this On this Notary Public of the appearedJeffrey M satisfactory evidence acknowledged that he his/her signature on to acted, executed the ir	S WHEREOF, the sai his day of)  day of)  State of California in I. Kowalski , person of to be the person(s) to be the instrument the pernstrument.	Jeffrey M. Kowalski
IN WITNESS to said Assignee on to  State of California County of  On this  On this  Notary Public of the appeared Jeffrey M satisfactory evidence acknowledged that he his/her signature on to acted, executed the insertion of the said of t	S WHEREOF, the sai his day of  day of  State of California in [. Kowalski_, person(s)) to be the person(s) we/she executed the sai he instrument the per	Jeffrey M. Kowalski